

EFFATA

Sample Policy Pack

A worked example of Effata's GenAI governance lifecycle output —
from app catalog through deployable Netskope policy.

SAMPLE ORGANIZATION

Meridian Financial Group

Financial Services · GDPR & HIPAA scope · Netskope GenAI Security & SaaS Protection

179 apps catalogued · 176 fully evaluated · 3 awaiting evaluation

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Risk families × governance categories

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RISK FAMILY	PROHIBITED	RESTRICTED	CONDITIONAL	APPROVED
Data Exfiltration to Personal Accounts	Block	Block	Coach + Alert	Monitor
Sensitive Data in Prompts (PII/PHI)	Block	Block	Coach	Monitor
Source Code Upload	Block	Coach + Alert	Monitor	Allow
Credential / Secret Exposure	Block	Block	Block	Block
File Upload to Unsanctioned App	Block	Coach + Alert	Monitor	Allow
Bulk Data Download	Alert	Coach	Monitor	Allow
Financial Record Sharing	Block	Block	Coach + Alert	Monitor
Shadow AI Discovery	Block	Restrict	Monitor	Allow

Excerpt — 8 of 10 risk families shown. Full matrix covers all risk families against all four governance categories.

Recommended policy set, in evaluation order

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ID	POLICY NAME	CHANNEL · SCOPE	ACTION
P100	Block Prohibited AI Apps — All Users	Web · GenAI	Block
P110	Restrict Restricted-Tier AI Apps — Exceptions Only	Web · GenAI	Block + Alert
P200	Global Secrets & Credentials Protection	Web, Endpoint · All Apps	Block
P210	Scoped: Source Code Upload — Engineering Group	Web · Dev/Source Code	Coach + Alert
P300	PII/PHI in Prompts — Conditional-Tier Apps	Web · GenAI	Coach
P400	Bulk Download Monitoring — Approved-Tier Apps	Web · SaaS	Alert
P500	Financial Records — Restricted Destinations	Web, Email · All Apps	Block
P600	Fallback: Unassessed / Newly Discovered Apps	Web · GenAI	Restrict + Alert

Policies evaluate top to bottom; P100–P200 stop on match, tier policies below continue to capture additional signal.

Scenarios mapped to each policy

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35 / 35 must-pass scenarios · 8 / 11 recommended scenarios

TEST	POLICY	SCENARIO	PRIORITY	EXPECTED RESULT
T001	P100	Upload file to prohibited AI app	Must-pass	Block + user coaching shown
T014	P200	Paste API key into approved AI chat	Must-pass	Content blocked, alert raised
T027	P300	Paste patient record into conditional-tier app	Must-pass	Coaching message shown, allowed
T031	P400	Download >500MB from approved SaaS app	Recommended	Alert raised, activity logged
T038	P600	Access newly discovered unassessed app	Must-pass	Restricted, alert raised

Excerpt — 5 of 46 scenarios shown.

Captured test results

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TEST	EXPECTED	ACTUAL	RESULT	TESTER	DATE
T001	Block + user coaching shown	Matched	Pass	R. Alvarez	2026-07-14
T014	Content blocked, alert raised	Matched	Pass	R. Alvarez	2026-07-14
T027	Coaching message shown, allowed	Matched	Pass	S. Chen	2026-07-15
T031	Alert raised, activity logged	Matched	Pass	S. Chen	2026-07-15
T038	Restricted, alert raised	Matched	Pass	R. Alvarez	2026-07-16

Excerpt — 5 of 46 recorded results shown. Full report includes tester notes and screenshots per scenario.

Posture score & roadmap

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POSTURE SCORE

78

Managed · Level 4

TOP GAPS

- Restricted-tier apps missing coaching messages
- Bulk-download alerting not yet tuned per app
- 3 apps awaiting risk evaluation

30 / 60 / 90-Day Roadmap

30 days

Enable coaching messages across all Restricted-tier apps.

60 days

Tune bulk-download alert thresholds per app category.

90 days

Complete evaluation of the remaining 3 discovered apps.